



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: WALLMAN, Steven M.H. et al.

Appl'n No.: 09/516,792

Filing Date: March 1, 2000

For: METHOD AND APPARATUS FOR
TRADING SECURITIES OR OTHER
INSTRUMENTS ON BEHALF OF
CUSTOMERS

Group Art Unit: 3624

Examiner: AKERS, G. R.

MS Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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DEC 03 2003

GROUP 3600

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office action mailed on August 26, 2003, Applicants respectfully request reconsideration of the application in light of the following remarks: